



Express Mail No.: EM 074 004 923 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Artavanis-Tsakonas et al.

RECEIVED

Application No.: 08/083,590

Group Art Unit: 1806

JUL 02 1997

Filed: June 25, 1993

Examiner: T. Scheiner

GROUP 1800

For: THERAPEUTIC AND  
DIAGNOSTIC METHODS AND  
COMPOSITIONS BASED ON  
NOTCH PROTEINS AND  
NUCLEIC ACIDS

Attorney Docket No.: 7326-015

Issue Batch No.: M23

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of the claimed invention, Applicants hereby direct the Examiner's attention to references CA to CC listed on the accompanying supplemental revised PTO Form 1449. Copies of these references are being submitted herewith. Also submitted herewith is (a) a Petition under 37 C.F.R. § 1.97(d)(2) together with the necessary fee; and (b) a Certification under 37 C.F.R. § 1.97(e)(1).

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art". As an alternative, Applicants submit herewith a "revised form

PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

In accordance with the accompanying Petition under 37 C.F.R. § 1.97(d)(2), Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of this application.

Respectfully submitted,

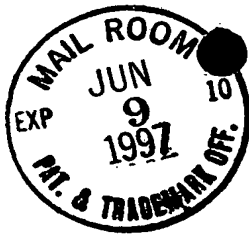
Date 6/9/97

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Enclosure



# 28

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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the provisions of 37 C.F.R. 1.97(e)(1), the undersigned Attorney for Applicants hereby certifies that each item of information contained in the Fourth Supplemental Information Disclosure Statement submitted concurrently herewith was cited in a Communication (a copy of which is attached) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Fourth Supplemental Information Disclosure Statement.

Respectfully submitted,

Date 6/9/97

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